

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79837

Ken MIYAGI, *et al.*

Continuation of Appln. No.: 09/793,958

Parent Group Art Unit: 1752

Parent Confirmation No.: 2382

Parent Examiner: John S. CHU

Filed: February 27, 2001

For: PHENOL NOVOLAK RESIN, PRODUCTION PROCESS THEREOF, AND POSITIVE
PHOTORESIST COMPOSITION USING THE SAME

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 and 1.98**

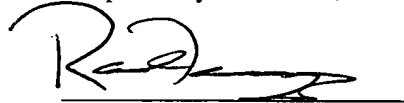
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO/SB/08 A & B (modified), which are references of record in parent application No. 09/793,958. Applicants are not submitting duplicate copies of these references but request that they be listed on the face of any patent granted on the above application. (See 37 CFR §1.98(d)).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



L. Raul Tamayo
Registration No. 47,125

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: February 9, 2004

Substitute for Form 1449 A & B/PTO <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Continuation of Appln No	09/793,958
				Parent Confirmation No	2382
				Parent Filing Date	February 27, 2001
				First Named Inventor	Ken MIYAGI
				Parent Art Unit	1752
				Parent Examiner Name	John S Y Chu
				Attorney Docket Number	Q79837
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,604,077	A	02-18-1997	Kono, <i>et al.</i>
		US 5,413,894	A	05-09-1995	Sizensky, <i>et al.</i>
		US 5,234,795	A	08-10-1993	Jeffries, III, <i>et al.</i>
		US 5,196,289	A	03-23-1993	Jeffries, III, <i>et al.</i>
		US 5,130,410	A	07-14-1992	Zampini
		US 4,837,121	A	06-06-1989	Blakeney, <i>et al.</i>

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.